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Customer Number

Patent  
Case No.: 58391US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: FAN, XUDONG

Application No.: 10/685049

Confirmation No.: 5689

Filed: October 14, 2003

Title: HYBRID SPHERE-WAVEGUIDE RESONATORS

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

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**Fees:**

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☐ Any required fee will be paid at the time of EFS-Web submission.

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Respectfully submitted,

22 Mar 2007  
Date

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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 1	<b>Application Number</b>	<b>10/685049</b>
	<b>Filing Date</b>	<b>October 14, 2003</b>
	<b>First Named Inventor</b>	<b>Fan, Xudong</b>
	<b>Confirmation Number</b>	<b>5689</b>
	<b>Attorney Case Number</b>	<b>58391US002</b>

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2002/0044739	04/18/2002	Vahala et al.	
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
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	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

Foreign Patent Documents							
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		Ctry. Code	Number-KindCode (if known)				
	B1	GB	2 293 883	04/10/1996			
	B2	GB	2 387 130	10/08/2003			
	B3	WO	01/85341	11/15/2001			
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS			
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<b>*Examiner:</b>	<b>Date Considered:</b>
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